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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Bernhard Knupfer

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EXAMINER

DOAN, DUC T

ART UNIT

PAPER NUMBER

2188

DATE MAILED: 11/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/817,504

Applicant(s)

KNUPFER ET AL.

Examiner

Duc T. Doan

Art Unit

2188

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 28 August 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 8/28/06 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Status of Claims*

Claims 1-21 have been presented for examination in this application. In response to the last office action, the drawing(s) have been amended, claims 1,3,10,17,21 have been amended, claim 22 has been added. As the result, claims 1-22 are pending in this application.

Claims 1-22 are rejected.

All rejections and objections not explicitly repeated below are withdrawn.

Applicant's arguments filed 8/28/06 have been fully considered but they are not persuasive. Therefore, the rejections from the previous office action are respectfully maintained, with changes as needed to address the amendments.

### *Drawing*

Examiner thanks Applicant for providing a new Figure drawing the timing sequence and inter-relationship among signals CM-I, CLK, DST, #A51, #A52, #A53, #A54, #A55 #A40.

Examiner suggests Applicant to show an arrow line that indicate all conditions for the reset of signal A-53; therefore an arrow starts from falling edge of clk signal, intersecting with A-52 signal (i.e a "dot") and points to/ends at A-53 signal's falling edge.

Similarly, arrow lines indicate all conditions for the setting and the resting of signal A-54.

In the new Figure, the arrow line that indicates the conditions for setting of signal A-55 should add/include the intersection "dot" with signal A-53.

Appropriate correction is requested.

### ***Claim Objections***

Claim 21 is objected to because of the following informalities:

As in claim 21, line 6, "the external command" is not clear because it does not indicate which of the external commands as recited in line 5.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3,10,17,21-22 rejected under 35 U.S.C. 103(a) as being unpatentable over Bando (US 2002/0145930).

As in claim 1, Bando describes a data memory circuit, comprising: a plurality of addressable memory cells (Bando's Fig 6: #22 memory array); a command-decoding device for decoding external commands (Bando's Fig 6: #12); a control device for controlling and initiating operations on the memory cells in response to the decoded external commands (Bando's Fig 6: #20a); and a command buffer device for buffer-storing an external command received in a

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critical operating state period during which execution of the command is impermissible and for releasing the command for execution after end of the critical operating state period (Bando's Fig 6: #14 buffering and storing external command; Bando's paragraphs 32-35 describes holding the external commands in #14 while executing the internal refresh command, and then executing the external command after the internal refreshing command is completed; see Fig 1), wherein a multi-bit status signal indicates the critical operating state period and a type of critical operating state (Bando's paragraph 55 discloses signals represent the critical periods when executing internal commands, for example one specific type of the internal commands is the refresh command, being indicated by the Fig 2: #REFRQ signal).

As in claim 2, the claim recites wherein a plurality of critical operating states are possible, and in one or more critical operating states, a set of commands is impermissible; and the command buffer device includes a buffer circuit assigned to each individual command of the set of impermissible commands (Bando's paragraph 30 describes circuit of Fig 2 buffering external commands in various critical operation states, for example when memory device executes refresh operation, read and write commands are not permitted).

As in claim 3, the claim recites wherein each buffer circuit comprises: a state evaluation circuit, responsive to the multi-bit status signal, for generating a buffer standby signal during at least one operating state that is critical for the execution of respective impermissible command (Bando's paragraph 40 describes control circuit Fig 2: #20 includes logic/signal to hold the second read command during the execution of the refresh command), and a logic circuit for setting a bi-stable element into a first state when the assigned command appears while the buffer standby signal is active and for re-generating the assigned command after the buffer standby

signal has ended (Bando's paragraph 41 describes the read command is held back in the holding circuit and being re executed when the refresh operation is completed).

Claims 10,17,22 rejected based on the same rationale as of claim 1.

As in claim 21, the claim recites a method for controlling the execution of commands in a memory device comprising a plurality of addressable memory cells, the method comprising: receiving an external command while the memory device is performing a critical operation making execution of the external command impermissible; buffering the external command until the device completes the critical operation; and then executing the command. The claim rejected based on the same rationale as of claim 1. Bando's paragraphs 10,35 further describe the circuit to prevent the collision between the internal and external commands.

Claims 4-9,11-16,18-20 rejected under 35 U.S.C. 103(a) as being unpatentable over Bando (US 2002/0145930) in view of Kirihata (US 6404689).

As in claim 4, the claim recites wherein the command decoding device comprises: a predecoder, which, for each received command, activates a command line assigned to the received command (Bando's Fig 2: #12);

and an end decoder which excites selected enable lines of the control device depending on which of the command lines is activated. and wherein each buffer circuit is connected to a respectively assigned command line between the predecoder and the end decoder to receive the command from respective command line and to apply a re-generated command generated to respective command line.

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Bando does not explicitly shows the output driver circuit (corresponding to the claim's end decoder) at the output of the latch that stores the command (corresponding to the claim's buffering circuit). However, Kirihata describes a refresh circuit as shown in Kirihata's Fig 6, that buffering and storing the external command until a critical operation is completed (Kirihata's Fig 6: #624 latch transfers data to #623 latch; subsequently when xfer signal #685 is activated, data is transferred from #623 to ADDI address bus of a memory device, column 6 lines 37-66; predetermined timing period0). It would have been obvious to one of ordinary skill in the art at the time of invention to include the buffering and forwarding circuits as suggested by Kirihata in Bando's system thereby external memory commands can be held and subsequently execute in a pipeline manner (Kirihata's column 5 lines 25-35).

As in claim 5, the claim recites wherein each buffer circuit includes a switch in a path of the respective command line, wherein the switch is opened precisely while a buffer standby signal is active to inhibit forwarding of an activation of the command line effected by the predecoder to the end decoder. The claim rejected based on the same rationale as of claim 1. Kirihata's Fig 6 shows the xfer signal will not forward the information in latch #623 during the execution of refresh command.

As in claim 6, the claim recites wherein a source of external commands is adapted to a specification of the data memory circuit with regard to command-issuing times (Bando's control circuit determines when to issues the proper commands to the memory device),

and wherein the command buffer device handles external commands whose execution leads to termination of internally controlled processes in the data memory circuit (Kirihata's

column 5 lines 35-50 describes a method wherein an activate command will leads to an execution and completion of an internal refresh operation in an automatic manner).

As in claim 7, the claim recites wherein the command buffer device handles external commands whose execution leads to termination of a self-controlled data-refresh process in the memory circuit. The claim rejected based on the same rationale as of claim 6.

As in claim 8, the claim recites wherein the control device includes blockage elements for blocking execution of commands during the critical operating state period, and wherein the command buffer device directly forwards commands received during the critical operating state period. (Kiriata's Fig 6 shows the xfer signal will not forward the information in latch #623 during the execution of refresh command, however the refresh command is being forwarded to the memory device).

As in claim 9, the claim recites wherein the command buffer device inhibits forwarding of the received command to the control device during the critical operating state period (Kiriata's Fig 6 shows the xfer signal will not forward the information in latch #623 during the execution of refresh command).

Claims 11,18 rejected based on the same rationale as of claim 4.

Claims 12,19 rejected based on the same rationale as of claim 3.

Claims 13,20 rejected based on the same rationale as of claim 5.

Claim 14 rejected based on the same rationale as of claim 8.

Claim 15 rejected based on the same rationale as of claim 6.

Claim 16 rejected based on the same rationale as of claim 7.



*Response to Arguments*

Applicant's arguments in response to the last office action has been fully considered but they are not persuasive. Examiner respectfully traverses Applicant's arguments for the following reasons:

Regarding remarks on page 10 for the claim 1,

A) Bando clearly teaches a device buffering and storing an external command received at any time, including during the critical time period of executing an internal command, for example during executing of the refresh command. Bando's Fig 6: #14 buffering and storing external command; Bando's paragraphs 32-35 describes holding the external commands in #14 while executing the internal refresh command, and then executing the external command after the internal refresh command is completed; see Fig 1.

B) Bando's paragraph 55 further discloses signals represent the critical periods when executing internal commands, for example one specific type of the internal commands is the refresh command, being indicated by the Fig 2: #REFRQ signal. Bando further discloses a complex circuitry, includes how to handle an external command received during the execution of the critical operation such as refresh, as discussed in item A.

*Conclusion*

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP 706.07(a). Applicant is reminded of the extension of time policy as set forth in 36 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

When responding to the office action, Applicant is advised to provide the examiner with the line numbers and page numbers in the application and/or references cited to assist examiner to locate the appropriate paragraphs.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Duc T. Doan whose telephone number is 571-272-4171. The examiner can normally be reached on M-F 8:00 AM 05:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hyung S. Sough can be reached on 571-272-6799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
HYUNG SOUGH  
SUPERVISORY PATENT EXAMINER

11/8/06